

## CENTRUM BANK

**REGISTERED MAIL**

United States Bankruptcy Court  
Southern District of New York  
Attn: Lehman Brothers Holdings Inc.  
One Bowling Green  
New York, NY 10004-1408

JAN 31 2014

Vaduz, January 23, 2014

OSA-egm Phone: +423 238 37 34

E-mail: [Settlements@centrumbank.com](mailto:Settlements@centrumbank.com)**Transfer of claim**

Dear Sir or Madam

Please note that a client would like to transfer the following three positions from Credit Suisse AG to ourselves, Centrum Bank AG:

Face amount: CHF 20,000  
ISIN: XS0292042255  
Description: Lehman Brothers Securities NV --- 8 3/4 % Equity Yield Note 2007-16.9.08 (EXP.9.9.08) on a Basket of Shs -In Default-

Face amount: CHF 20,000  
ISIN: XS0292042255  
Description: Lehman Brothers Securities NV --- 8 3/4 % Equity Yield Note 2007-16.9.08 (EXP.9.9.08) on a Basket of Shs -In Default-

Face amount: CHF 20,000  
ISIN: XS0292042255  
Description: Lehman Brothers Securities NV --- 8 3/4 % Equity Yield Note 2007-16.9.08 (EXP.9.9.08) on a Basket of Shs -In Default-

So, totally, nominal CHF 60,000 of ISIN XS0292042255 will be transferred to us.

Therefore, we hereby send you

- 3 'Evidence of Transfer of Claim' (with 'Schedule 1' on reverse), signed by Credit Suisse AG
- 3 'Transfer of Claim other than for Security', signed by ourselves

We hereby kindly ask you to change the creditor of these positions in your files accordingly and send us a confirmation as soon as the claims have been transferred. Please feel free to contact us via telephone (00423 238 37 34) or via e-mail ([settlements@centrumbank.com](mailto:settlements@centrumbank.com)) in case that you have any queries.

We are very grateful for your precious support in this matter.

Yours faithfully,

**CENTRUM BANK AG**

  
Daniel Böhi  
Director

  
Gerhard Rösli  
Associate Director

Form 210A (10/06)

JAN 31 2014

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Centrum Bank AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Centrum Bank AG  
Kirchstrasse 3  
9490 Vaduz  
Principality of Liechtenstein  
BIC: CBKVL12XXXX

Phone: 00423 238 37 34

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

UBS AG, Bahnhofstr. 45, 8001 Zurich, Switzerland  
BIC: UBSWCHZH80A / Account 230-60452.05W  
E-mail: [settlements@centrumbank.com](mailto:settlements@centrumbank.com)

Phone: 00423 238 37 34

Last Four Digits of Acct #: \_\_\_\_\_

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: October 29, 2009

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see  
Schedule I): CHF 20,000 of ISIN XS0292042255

Phone: 0041 44 332 86 17

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to  
the best of my knowledge and belief.

By: D. Böhi

Transferee/Transferee's Agent

D. Böhi

G. Rössli

Date: 16 January 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Centrum Bank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON JANUARY 9, 2014.

**Credit Suisse AG**

By:   
Name: Adrian Graf  
Title: AVP

By:   
Name: Rita von Wyl  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0292042255	55829	October 29, 2009	Lehman Brothers Securities NV	CHF 20'000.00